UNIVERSITI TEKNOLOGI MARA

SYNTHESIS OF [5:5] PYRAZOLIDINONE AND [5:7] OXAZEPANONE γ-LACTAM RING SYSTEMS

FATIN NUR AIN BINTI ABDUL RASHID

Thesis submitted in fulfilment of the requirements for the degree of **Doctor of Philosophy**

Faculty of Applied Sciences

March 2020

AUTHOR'S DECLARATION

I declare that the work in this thesis was carried out in accordance with the regulations of Universiti Teknologi MARA. It is original and is the results of my own work, unless otherwise indicated or acknowledged as referenced work. This thesis has not been submitted to any other academic institution or non-academic institution for any degree or qualification.

I, hereby, acknowledge that I have been supplied with the Academic Rules and Regulations for Post Graduate, Universiti Teknologi MARA, regulating the conduct of my study and research.

Name of Student	:	Fatin Nur Ain binti Abdul Rashid
Student I.D. No.	:	2015178607
Programme	:	Doctor of Philosophy – AS950
Faculty	:	Applied Sciences
Thesis Title	:	Synthesis of [5:5] Pyrazolidinone and [5:7] Oxazepanone γ-Lactam Ring Systems
Signature of Student	:	
Date	:	March 2020

ABSTRACT

 γ -Lactam is an important structural motif that features in a variety of bioactive natural products and drugs. Due to the high synthetic value, considerable efforts have been devoted to develop various synthetic strategies to diversify the structural molecule, especially towards bicyclic γ -lactam. In this study, the synthetic strategy of bicyclic moiety of 3,4-fused [5:5] pyrazolidinone and [5:7] oxazepanone γ -lactam ring systems were successfully established. The synthetic strategy was divided into three main parts in which the first part concentrated on the construction of the key intermediates, y-lactam or 2,3-dioxopyrrolidine ring moiety via multicomponent reaction (MCR's) of sodium diethyl oxalacetate salt, primary amine and aldehyde in refluxing ethanol. The approach successfully gave a series of 2,3-dioxo-4-carboxy-5-(substituted)pyrrolidines 100 in moderate yield. Later, this highly functionalized intermediate 100 was subjected to various chemical transformations that lead to the formation of new bicyclic y-lactam and also other interesting heterocyclic compounds. The second part focused on the formation of 3,4-fused [5:5] pyrazolidinone γ -lactam bicyclic ring system. The key intermediate; 2,3dioxopyrrolidine underwent nucleophilic addition reaction at C-3 position via insertion of hydrazine hydrate by refluxing in ethanol solvent. Subsequently, metalcatalyzed hydrogenation was performed using Pd/C as a catalyst to afford hydrazine γ -lactam 104 with *cis-trans* configuration as a major product. Eventually, intramolecular cyclization of hydrazine γ -lactam 104 in basic condition had furnished the desired [5:5] pyrazolidinone γ -lactam **109** in 3-18% overall yields. The final part of this study emphasized on the formation of 3,4-fused [5:7] oxazepanone γ -lactam bicyclic ring systems using the same approach as pyrazolidinone γ -lactam which included of nucleophilic addition, metal-catalyzed hydrogenation and intramolecular cyclization reaction. Nucleophilic addition of ethanolamine at C-3 position was performed using catalytic amount of acid in ethanolic solvent. Accordingly, metalcatalyzed hydrogenation was carried using Pearlman's catalyst followed by intramolecular cyclization to afford [5:7] oxazepanone γ -lactam **118** in 5-29% overall yields. In conclusion, not only 10 new bicyclic γ -lactam were successfully synthesized, but other interesting pyrrolidinone type compounds and various synthetic approaches were also been explored. The structures of all synthesized and intermediate compounds were confirmed using spectroscopic technique.

ACKNOWLEDGEMENT

In the name of Allah, the Most Gracious and the Most Merciful. Alhamdulillah, all praises to Him for giving me the blessing and the strength throughout my PhD journey.

Deepest appreciation goes to my supervisor, Assoc. Prof. Dr. Mohd Fazli Mohammat for his guidance and continuous help that he gave me throughout my graduate study. His persistent suggestions and comments during the research and thesis works have absolutely helped me completing this study. To my co-supervisors; Prof. Dr. Ahmad Sazali Hamzah, a million thanks for your help and knowledge regarding to this research. My sincere thanks also go to Prof. Dr. Zurina Shaameri and Dr. Agustono Wibowo for their comment and advice in my research work.

I would also like to thanks my teammates in Organic Synthesis Laboratory Puncak Alam, especially to all the staffs, Mrs. Irmaizatussyehdany, Mrs. Zaleha and Mrs. Afreeda for their help and co-operations. To all my friends; Ain, Hasliza, Putri, Azra, Aishah, Hidayah, Syafiqah, Siddiq and Zulfaqar and others, special thanks for your kindness and help towards me. Thank you for all the great moments and memories. It was fun having all of you during these past years.

Finally, this thesis is dedicated to my beloved parents; Mr. Abdul Rashid Abdul Majid and Mrs. Che Zalani Adis for their unwavering love, prayers, care and encouragements. Not forgotten, to my siblings and my little cute nieces and nephews. Without all of you, I could not have done until this far.

Last but not least, to those who directly and indirectly involved in this research, a sincere thanks from me. May Allah bless you with happiness and health. Alhamdulillah, thank you Allah.

TABLE OF CONTENTS

CONFIRMATION BY PANEL OF EXAMINERS	ii
AUTHOR'S DECLARATION	iii
ABSTRACT	iv
ACKNOWLEDGEMENT	v
TABLE OF CONTENTS	vi
LIST OF TABLES	xvi
LIST OF FIGURES	xviii
LIST OF SCHEMES	xix
LIST OF SYMBOLS	xxiii
LIST OF ABBREVIATIONS	xxiv

CHA	APTER ONE: INTRODUCTION	1	
1.1	γ-Lactam and Its Significance in Our Daily Lives		
1.2	Bicyclic γ-Lactam and Its Related Compounds in Natural Product		
	Discovery	2	
1.3	Pyrazolidinone and Its Derivatives	3	
1.4	Oxazepane and Its Derivatives	5	
1.5	Research Problem	6	
1.6	Significance of Study	7	
1.7	Objectives of Study	7	
CHA	APTER TWO: LITERATURE REVIEW	9	
2.1	Syntheses of 2,3-Dioxopyrrolidine via Multicomponent Reactions		
	(MCRs)	9	
2.2	Syntheses of Pyrazolidinone and Its Derivatives	14	
2.3	Syntheses of Oxazepanone and Its Derivatives	19	
2.4	Syntheses of Bicyclic γ-Lactam	23	