UNIVERSITI TEKNOLOGI MARA

DISASTER READINESS OF MEDICAL RECORDS IN MALAYSIAN HOSPITALS

MOHD NIZAM BIN YUNUS

Thesis submitted in fulfillment of the requirements for the degree of **Doctor of Philosophy** (Information Management)

Faculty of Information Management

November 2019

AUTHOR'S DECLARATION

I declare that the work in this thesis was carried out in accordance with the regulations of Universiti Teknologi MARA. It is original and is the results of my own work, unless otherwise indicated or acknowledged as referenced work. This thesis has not been submitted to any other academic institution or non-academic institution for any degree or qualification.

I, hereby, acknowledge that I have been supplied with the Academic Rules and Regulations for Post Graduate, Universiti Teknologi MARA, regulating the conduct of my study and research.

Name of Student	:	Mohd Nizam bin Yunus
Student I.D. No.	:	2010571865
Programme	:	Doctor of Philosophy (Information Management) – IM990
Faculty	:	Information Management
Thesis Title	:	Disaster Readiness of Medical Records in Malaysian Hospitals
Signature of Student	:	$-\lambda c$
Date	:	November 2019

ABSTRACT

Medical records are an important asset to medical institutions especially hospitals. They document information including patient's identification data, medical and health history, physical examination findings, laboratory results and reports, medical diagnosis, medical treatment, length of stay in hospital, results from medical care and also future course of action. Medical records must be protected from danger or harm as it is vital for the medical institution's operation. There is a need to identify whether medical record is being well safeguarded from any disaster. This study focuses on the readiness of three hospitals in facing disaster on their medical records. Studies were conducted in the Klang Valley area where previous disaster incidents had been reported nearby. Elements of disaster readiness were being constructed from various guidelines and literatures and were being used as a base for interviewing respondents. Data from interviews were analyzed together with findings obtained from literatures and observation at the interview sites. This research suggested that while respondents were aware of the risks that can damage their medical records, some obstacles had hampered their efforts in minimizing or eliminating these risks. While conducting this research, cases of disaster incidents on the existing medical records had been found in all three cases. This shows that incidents had happened before to their medical records. One of the main factors that need to be overcome is the lack of financial support. Hospitals already spent a large amount of money on their manpower, medical operations and medications. An increase of expenditure spent on managing disaster is not possible. It is hope that this study will highlight the importance of a high level of readiness in facing disaster on medical records. To maintain a high level of readiness, various factors are needed including the increase of budget allocation.

ACKNOWLEDGEMENT

Firstly, I wish to thank God for giving me the opportunity to embark on my PhD and for completing this long and challenging journey successfully. My gratitude and thanks go to my supervisor Assoc Prof Dr Azman Bin Mat Isa and my co supervisor Assoc Prof Dr Hajah Aliza Binti Ismail.

My appreciation goes to all whom had contributed to this thesis. Special thanks to my colleagues and friends for helping me with this project.

Finally, this thesis is dedicated to my wife and my two kids for their support and patience. To my mom and dad for their support. This thesis is dedicated to all of you. Alhamdulilah.

TABLE OF CONTENTS

	Page
CONFIRMATION BY PANEL OF EXAMINERS	ii
AUTHOR'S DECLARATION	iii
ABSTRACT	iv
ACKNOWLEDGEMENT	v
TABLE OF CONTENTS	vi
LIST OF TABLES	Х
LIST OF FIGURES	xi
LIST OF ABBREVIATIONS	xii
CHAPTER ONE: INTRODUCTION	1
1.1 Research Background	1
1.2 Problem Statement	5
1.3 Objectives	8
1.4 Research Question	8
1.5 Thesis Structure	8
1.6 Scope and Limitation of Study	9
1.7 Significance of the Research to New Knowledge	9
CHAPTER TWO: LITERATURE REVIEW	11
2.1 Introduction	11
2.2 Defining Records	12
2.2.1 Importance of Records	13
2.2.2 Records and Governance	14
2.2.3 Types and Values of Records	17
2.2.4 Management of Records	18
2.2.5 Preserving Records	20
2.3 Introduction of Medical Records	23
2.3.1 Definition of Medical Records	23
2.3.2 Changing Nature of Medical Records	Ż5

vi