## UNIVERSITI TEKNOLOGI MARA

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MANAGEMENT (ERM)
PRACTICES AT THE
MALAYSIAN FEDERAL
MINISTRIES: EXPLORING THE
FUNDAMENTAL
ORGANIZATIONAL AND
PROFESSIONAL ISSUES

# IRWAN KAMARUDDIN BIN ABD. KADIR

PhD

September 2014

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# ELECTRONIC RECORDS MANAGEMENT (ERM) PRACTICES AT THE MALAYSIAN FEDERAL MINISTRIES: EXPLORING THE FUNDAMENTAL ORGANIZATIONAL AND PROFESSIONAL ISSUES

#### IRWAN KAMARUDDIN BIN ABD. KADIR

Thesis submitted in fulfillment of the requirements for the degree of **Doctor of Philosophy** 

**Faculty of Information Management** 

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#### **AUTHOR'S DECLARATION**

I declare that the work in this thesis was carried out in accordance with the regulations of Universiti Teknologi MARA. It is original and it is the result of my own work, unless otherwise indicated or acknowledged as referenced work. This thesis has not been submitted to any other academic institution or non-academic institution for any degree or any qualification.

I, hereby, acknowledged that I have been supplied with the Academic Rules and Regulation for Post Graduates, Universiti Teknologi MARA, regulating the conduct of my study and research.

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#### **ABSTRACT**

This study is an attempt to gain greater understanding of the importance of departmental records officers' (in Malaysian Government they are called 'Pegawai Rekod Jabatan' (PRJ)) roles and responsibilities on electronic records (e-records). The study adopted a case study approach that combined both quantitative and qualitative data. Quantitative data were gathered in the first phase of the study from 45 agencies under the Prime Minister's Department. In the second phase of the study, qualitative data were gathered from face-to-face interviews with three PRJs from three agencies under the Prime Minister's Department. To verify data gathered in the second phase of the study, four focus group (FG) discussions with 120 PRJs from 24 ministries and related agencies were conducted in the third phase of the study. The data derived from the PRJ have fulfilled the objectives of the study which are: To identify the record managers' perspectives with regard to their responsibilities in managing e-records within the context of fundamental organizational requirements: To find out the record managers' perspectives with regard to their responsibilities in managing e-record within the context of professional requirements; and To ascertain factors that contribute to the surveyed records managers' perspective about the fundamental organizational and professional issues that limit the implementation of their responsibilities on e-records as required by the "Elektronik-Strategi Pemeliharaan Arkib dan Rekod Kerajaan" (e-SPARK) project deliverables. Overall the data suggested that the PRJs' perspectives on their roles and responsibilities which relates to the fundamental organizational and professional issues were influenced by the larger policy, organizational and professional context in which they function. Powerful forces from the top affected ERM practices by giving limited focus on PRJs' roles and responsibilities and providing limited resources to PRJs for their career development on ERM as required by the e-SPARK project. Therefore, understanding on how PRJs work on e-records require an understanding how contextual factors shape and constrain PRJs' practices based on the fundamental organizational and professional issues surrounding their roles and responsibilities on ERM in the Malaysian Federal Government agencies.

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