### UNIVERSITI TEKNOLOGI MARA

# FIRST PRINCIPLES STUDY ON STRUCTURAL, ELECTRONIC AND MAGNETIC PROPERTIES OF HEUSLER-TYPE FERROMAGNET USING DENSITY FUNCTIONAL THEORY

### MUHAMMAD NOOR SYAZWAN BIN SAIMIN

Thesis submitted in fulfillment of the requirements for the degree of **Doctor of Philosophy** (Science)

**Faculty of Applied Sciences** 

March 2023

#### ABSTRACT

Heusler compound is one of the potential half-metallic materials for applications including shape memory alloy (SMA), spintronic devices and magnetic sensor. Effort to develop more efficient half-metallic materials provide new opportunities and challenges in this field. Existing half-metallic materials were modified to enhance their existing properties. One of the known half-metallic materials is Co<sub>2</sub>FeSi (CFS) which has a great potential to exhibit half-metallic property at room temperature. In this work, the investigation focused on the variety functional and physical properties of ternary and quaternary Heusler alloy. The calculations were performed using first principles study based on Density Functional Theory (DFT) that has been implemented in CASTEP computer code. The atomic arrangement was demonstrated by using Material Studio Visualizer and convergence test were calculated before optimization and further energy calculation were made. The geometry optimizations of these Heusler alloys were calculated using Local Density Approximation (LDA-CAPZ) and Generalized Gradient Approximation (GGA). The structural, electronic and magnetic properties of CFS were preliminary determined. The results of structural, electronic and magnetic properties are in good agreement with other available calculations and experimental data. CFS shows direct energy band gap at G-point. The origin of the energy gap comes from the hybridization of Co-Co and Fe bonding. The structural, electronic and magnetic properties of Co<sub>2</sub>VSi (CVS) was then studied via first principles calculation. The calculation revealed that the possibility of gap disappearing at the Fermi level of Heusler alloy. Weak hybridization between Co-Co and V bonding have been identified as the reason for disappearance of the gap. Further calculations include the structural, electronic and magnetic properties of disordered CFS II and CFS III. It has been found that CFS I and CFS II have almost identical in their properties. The elastic properties values confirms that CFS and CVS as well as CFS II and CFS III are mechanically stable. Additionally, the modified CFS was investigated by substituting one of the Co with Ag and Pt. The novel quaternary Heusler alloy CAFS and CPFS were successfully designed in this work first principles calculation method. These novel compounds have been found to exhibit metallic properties. It is noted that CAFS has lower magnetic moment compared to CFS while CPFS has higher magnetic moment than CFS and may be possible to exhibit half-metallic properties by substituting partially. Thus, the partial substitution technique or virtual composition atom (VCA) is suggested as a potential method to further study these materials. These findings show that interruption of the non-magnetic material or magnetic material can affect the magnetic moment of Heusler compounds.

### ACKNOWLEDGEMENT

First and foremost, I would like to express my highest gratitude to Allah S.W.T. for giving me the chance pursue and continue my study in Universiti Teknologi MARA (UiTM) Shah Alam and giving me strength to complete my research.

I would like to thank my supervisor, Assoc. Prof. Dr. Mohamad Fariz Mohamad Taib and my co-supervisors, Prof. Dr. Ab Malik Marwan Ali, Assoc. Prof. Dr Ing. Oskar Hasdinor Hassan from UiTM and Prof. Ts. Dr. Muhd Zu Azhan Yahya from Universiti Pertahanan Nasional Malaysia (UPNM) for the opportunity they have given me to work in their research group. During the difficulties that I have experienced while working on this challenging new research area, their ideas, guidance and supports have absolutely been helpful.

Many thanks to all members of the Computational and Theoretical Physics Laboratory and Ionic Materials and Devices (iMADE) Laboratory, UiTM: Dr Hafiz, Dr Hazrie, Syazwani, Syahirah, Hanum, Haziq and other colleagues for the very nice working environment and for the very good scientific discussions.

I appreciate the help, love and encouragement from my wife Maisaratul Shamimi, my son Muhammad Firas my parent Saimin Sudir and Siti Radziah Kasman, also from the rest of my family members, Syafiq, Syamim and Ayuni who have been great over the years of this long journey. Without them, I would never have achieved my goal.

Finally, with a thankful heart, I wish to express my sincere gratitude for all the financial support I gain from UiTM and other research grants from Ministry of Higher Education Malaysia (MOHE).

## TABLE OF CONTENTS

CON	FIRMATION BY PANEL OF EXAMINERS	ii			
AUT	HOR'S DECLARATION	iii			
ABSTRACT		iv			
ACK	NOWLEDGEMENT	$\mathbf{v}$			
TABLE OF CONTENTS LIST OF TABLES LIST OF FIGURES LIST OF SYMBOLS		vi x xii xix xx			
			LIST		
			СНА	PTER ONE INTRODUCTION	1
1.1	Research Background		1		
1.2	Problem Statement	4			
1.3	Objectives	5			
1.4	Significant Of Study	6			
1.5	Scope And Limitation	6			
1.6	Thesis Structure	7			
CHA	PTER TWO LITERATURE REVIEW	9			
2.1	Introduction	9			
2.2	Semi-Metal And Half Metal	10			
	2.2.1 Semi-Metal	10			
	2.2.2 Half-Metal	10			
2.3	Half-Metal Ferromagnet	12			
2.4	Heusler Compounds	12			
	2.4.1 Magnetic Moment	15			
	2.4.2 Spin-Orbit Coupling	18			
	2.4.3 Defects And Vacancies	18			
	2.4.4 Surfaces And Interfaces	19			

## CHAPTER ONE INTRODUCTION

#### 1.1 Research Background

Half-metallic ferromagnets (HMF) represent a relatively new class of materials which have attracted a lot of interest recently, due to their possible applications in semiconductor spintronic [1] and magneto electronics [2]. This class of materials was initially proposed by de Groot et al. [3] in the early 1980s. In conventional ferromagnets, the spin polarization arises from an imbalance in the density of states for majority (spinup) and minority (spin-down) spin electrons. These materials show a completely different behaviour between their energy bands. While one of the band usually the majority-spin band or spin-up band shows a typical metallic behaviour with a non-zero density of states at the Fermi level,  $E_F$ , the minority-spin band or spin-down band exhibits a semiconducting behaviour with an energy gap at  $E_F$  [4]. Therefore, such halfmetals can be considered as a hybrid between metals and semiconductor. A schematic representation of the density of states of a normal metal, a semiconductor and a halfmetal is shown in Figure 1.1. This half-metals exhibit, ideally, a 100% spin polarization at the Fermi level and therefore these compounds should have a fully spin-polarized current and be ideal spin injectors into a semiconductor, thus maximizing the efficiency of spintronic devices [5], [6].

Heusler compounds have attracted intense interest during the last century due to the possibility to study in the same family of alloys, a series of interesting diverse magnetic phenomena like itinerant and localized magnetism [7], [8], helimagnetism [9], antiferromagnetism [10], [11], Pauli magnetism [12] or heavy-fermionic behaviour [13]. Nowadays, their application as a shape-memory alloy has been discussed vigorously. The first Heusler alloys studied were of the form X<sub>2</sub>YZ and crystallize in the L2<sub>1</sub> structure which consists of four face-centred cubic (FCC) sublattices, two of which are occupied by the same type of X-atoms as shown in Figure 1.2. Afterwards, the XYZ Heusler alloys of C1<sub>b</sub> structure were discovered, where one sublattices remains unoccupied. This C1<sub>b</sub> compounds are often called half- or semi-Heusler alloys, while the L2<sub>1</sub> compounds are referred to as full-Heusler alloys.