## UNIVERSITI TEKNOLOGI MARA

# A HEADWAY AND ORDER SCHEME BASED MIXED INTEGER GOAL PROGRAMMING MODEL FOR RAILWAY RESCHEDULING

ZURAIDA BINTI ALWADOOD

Thesis submitted in fulfillment of the requirements for the degree of **Doctor of Philosophy** 

**Faculty of Computer and Mathematical Sciences** 

November 2017

#### **CONFIRMATION BY PANEL OF EXAMINERS**

I certify that a panel of examiners has met on 16 March 2017 to conduct the final examination of Zuraida Binti Alwadood on her Doctor of Philosophy thesis entitled "A Headway And Order Scheme Based Mixed Integer Goal Programming Model For Railway Rescheduling" in accordance with Universiti Teknologi MARA Act 1976 (Akta 173). The Panel of Examiners recommends that the student be awarded the relevant degree. The panel of Examiners was as follows:

Mazani Manaf, PhD Professor Faculty of Computer and Mathematical Sciences Universiti Teknologi MARA (Chairman)

S. Sarifah Radiah Shariff, PhD Faculty of Computer and Mathematical Sciences Universiti Teknologi MARA (Internal Examiner)

Mohd. Rizam Abu Bakar, PhD Associate Professor Faculty of Science Universiti Putra Malaysia (External Examiner)

Dylan Jones, PhD Professor Faculty of Technology University of Portsmouth, United Kingdom (External Examiner)

#### PROF. SR. DR. HAJI ABDUL HADI HAJI NAWAWI

Dean Institute of Graduates Studies Universiti Teknologi MARA Date: 28 November 2017

#### **AUTHOR'S DECLARATION**

I declare that the work in this thesis was carried out in accordance with the regulations of Universiti Teknologi MARA. It is original and is the results of my own work, unless otherwise indicated or acknowledged as referenced work. This thesis has not been submitted to any other academic institution or non-academic institution for any degree or qualification.

I, hereby, acknowledge that I have been supplied with the Academic Rules and Regulations for Post Graduate, Universiti Teknologi MARA, regulating the conduct of my study and research.

Name of Student	: Zuraida Binti Alwadood
Student I.D. No	: 2011418024
Programme	: Doctor of Philosophy (Information Technology and
	Quantitative Sciences) – CS990
Faculty	: Computer and Mathematical Sciences
Thesis Title	: A Headway And Order Scheme Based Mixed
	Integer Goal Programming Model For Railway
	Rescheduling
	An .
Signature of Student	:
Date	: November 2017

iii

#### ABSTRACT

Service disruptions in rail transport services often lead to trains cancelations and delays. The disruptions can create conflicts in the use of tracks and platforms and further propagate the disruptions throughout the railway system. The challenge in rescheduling trains is to quickly find the solution to the problem by synchronising resources to minimise the effect of the disruption. Railway rescheduling involves real-time alteration of train schedules in a railway network which is highly Mathematical modeling for trains rescheduling has always been interconnected. considered as a difficult and heavily constrained combinatorial optimisation problem that involves a large number of hard (operational) constraints and soft (desirability) constraints and the complexity of problem increases with the number of decision variables and constraints. Modelling and solving railway rescheduling problem is thus considered a highly complex task and categorised as an NP-hard class problem. This study is concerned with solving the railway rescheduling problem when disruption occurs on a track segment of the railway. Among the objectives of the study are to analyse the causes of railway disruptions and delay problems and to develop the visual railway network topology for the local Komuter rail system. The main contribution of the study is the formulation of a Mixed Integer Goal Programming (MIGP) model that determines a rescheduled timetable, generated based on trains priority rules, which are outlined according to the types of trains. The model aims at achieving two goals, where the first objective function is to minimise the total delay time of all trains in the network, while the second objective function is to maximise the train service reliability. A novel heuristic algorithm named as *Headway and* Order Scheme (HOS) is introduced to solve the rescheduling model. The approach considers the headway restriction and the sequence order of conflicting trains as its main feature. The headway restriction is formulated based on a new concept of blockoriented headway, whereas the sequence order is formulated based on the priority of conflicting trains. The model is solved by means of preemptive goal programming technique, using MATLAB r2014a, which automatically generates the optimal solution to the problem. Experimental analysis with incident scenarios based on different train priorities on Malaysian double track railway is examined to evaluate the performance of the proposed model and solution approach. It focuses on Komuter trains services and disruption incidences, which are mainly caused by signaling switches problem that takes a duration of five to fifteen minutes of time. The computational results show that the model is able to produce the provisional timetable in short computing time of 36 seconds. In addition to this, the total delay time and service reliability generated are strongly influenced by the setting of the train priorities. The solution generated successfully satisfies the restrictions posed by the rail operator and subsequently enables the goals of the model to be achieved. The verification of model was done by comparing the analytical solution generated in terms of the specification made by the new sets of constraint of the proposed model, while model validation was carried out by means of sensitivity analysis and face validation techniques. Besides the MIGP model and solution approach, the study has also developed a user interface for Komuter trains rescheduling support system.

### TABLE OF CONTENTS

	Page
CONFIRMATION BY PANEL OF EXAMINERS	ii
AUTHOR'S DECLARATION	iii
ABSTRACT	iv
ACKNOWLEDGMENT	v
TABLE OF CONTENTS	vi
LIST OF TABLE	xi
LIST OF FIGURES	xiv
LIST OF ABBREVIATIONS	xvii

CHA	CHAPTER ONE: INTRODUCTION		
1.1	Gener	General Overview of Rail Passenger Service Delays	
1.2	Motivation		3
	1.2.1	The Growing Demand of Public Transportation in Klang Valley	4
	1.2.2	Diminishing Trend of Public Transport Utilisation in Klang	6
		Valley	
	1.2.3	Government Agenda on Improving Public Transportation	7
	1.2.4	Impact of Service Delays to Passengers	8
	1.2.5	Low Ridership of Komuter	9
1.3	Proble	olem Statement	
1.4	Resea	earch Questions	
1.5	Research Objectives		12
1.6	Significance of Research		12
1.7	Scope and Limitations		13
1.8	Definition of Terms		13
1.9	Outlir	ne of the Thesis	15
CHA	CHAPTER TWO: LITERATURE REVIEWS		
2.1	Introd	uction	17
2.2	Railway Rescheduling Problem		17