

UNIVERSITI TEKNOLOGI MARA

**DEVELOPMENT OF A
3D EEG FEATURE EXTRACTION FOR
BRAIN BALANCED INDEX (BBI)
USING ARTIFICIAL NEURAL NETWORK (ANN)**

NORFAIZA BINTI FUAD

Thesis submitted in fulfillment
of the requirement for the degree of
Doctor of Philosophy

Faculty of Electrical Engineering

February 2017

ABSTRACT

The thesis presents the development of a new three-dimensional (3D) EEG feature extraction for brain balanced index (BBI) using artificial neural network (ANN). There were five (5) indexes stated for BBI, index 1 (unbalanced condition), index 2 (less balanced), index 3 (moderately balanced), index 4 (balanced) and index 5 (highly balanced). There are four (4) sub-bands of frequency for EEG signals; δ band (0.2- 3 Hz), θ band (3- 8 Hz), α band (8-12 Hz) and β band (12-30 Hz). These sub-bands can be used to analyze human brain activities. This research involved 96 healthy subjects for EEG data collection. The EEG 3D signals are produced through signal processing and image processing techniques. The development of 3D involved preprocessing of raw EEG signals and construction of 2D EEG images or spectrograms. EEG signals are pre-processed using artifact removal and band pass filter technique. The resultant images for 2D EEG image are constructed via Short Time Fourier Transform (STFT). Power spectral density (PSD) values are extracted as features. Some techniques for data analysis like Shapiro-Wilk for data distribution analysis and Pearson correlation for data correlation analysis have been implemented. These features are analyzed to signify the pattern for brain balanced index. There are five (5) patterns found using mean relative power (MRP), difference mean relative power (DMRP), left-right slope (LR_Slope), mean relative power (MRP) ratio and difference mean relative power (DMRP) techniques. The results indicated that the proposed maximum PSD from the 3D EEG signal are able to distinguish the different levels of the brain balanced index. There are two classifiers involved for classification; k -Nearest Neighbor (k -NN) and Artificial Neural Network (ANN). The PSD values are chosen as input features to the classifier. There are 768 samples of data as inputs to classifiers. The number of training and testing ratio is assessed at 80% (615 samples) to 20% (153 samples) to find the best model based on percentage of accuracy, sensitivity, specificity as well as mean squared error (MSE). The ANN model produces overall classification accuracy of 88.89%, sensitivity within range 87.50% to 92.31% and specificity within range 94.92% to 98.82%. The classification accuracy using k -NN classifier is 84.96%. The sensitivity was obtained within range 83.33% to 88.88% and specificity within range 93.02% to 97.35%. The ANN model produces higher accuracy compared to k -NN.

ACKNOWLEDGMENTS

First of all, I am very glad to ALLAH swt, the Almighty, and Syukur Alhamdulillah. Thanks to Allah for blessing me with healthiness, strength and guidance in completing this manuscript.

I would like to express my greatest appreciation and gratitude to my supervisor, Prof Dr. Nasir bin Taib, for his patient guidance, valuable advice and continuous support. His valuable ideas, patient, high tolerant and good leadership skills provide me a skill provide me a high spirit to face any difficulty during my research work. Thank you very much for giving me a chance to continue my PhD study in Advanced Signal Processing. His endless enthusiasm settings and patience is something to be admired and sought after in both academic settings as well as in life.

I would like to thank to all of my friends and lab mates from Advanced Signal Processing Research Group (ASPRG) University Technology Mara, Shah Alam. Their supports and encouragements have left me colorful memories of my PhD study.

I would like to thank my employer, Universiti Tun Hussein Onn Malaysia (UTHM) and Ministry of Higher Education for their sponsorship and financial support for my research work in Universiti Teknologi MARA.

Thank you so much to my beloved husband Haji Mohd Erwandi and my cute kids Izwan Nurazfar, Izwan Nurwazif and Izwan Nurrizqi for your support, love and caring to me.

Honestly, you are the rhythm in my tune, you are the sun and my moon, you are the beach and my wave.

Thank you to my parents Haji Puad and also my mother in-law
for your prays.

Finally, the best appreciation goes to my husband, sons for their outstanding support and patient which giving me high motivation to complete this manuscript.

TABLE OF CONTENTS

	Page
CONFIRMATION BY PANEL EXAMINER	ii
AUTHOR'S DECLARATION	iii
ABSTRACT	iv
ACKNOWLEDGEMENT	v
TABLE OF CONTENTS	vi
LIST OF TABLES	xii
LIST OF FIGURES	xiii
LIST OF SYMBOLS	xvii
LIST OF ABBREVIATIONS	xx
LIST OF A	
PPENDIX	
CHAPTER ONE: INTRODUCTION	
1.1 Introduction	1
1.2 Research problem statement	2
1.3 Research objectives	3
1.4 Scopes of the thesis	4
1.5 Thesis layout	5
CHAPTER TWO: LITERATURE REVIEW	
2.1 Introduction	7
2.2 Human balanced brain and brain balanced index (BBI)	8
2.3 Brainwave and Electroencephalography (EEG)	12
2.4 EEG data representation and domain analysis	16
2.5 Artifact removal and EEG signal processing technique	17
2.6 EEG image processing technique	19
2.7 EEG signal features extraction	22
2.8 EEG brain pattern and selection of sub band.	26
2.9 Classification on EEG signals	29
2.9.1 k-Nearest Neighbor (kNN)	31

CHAPTER ONE

INTRODUCTION

1.1 BACKGROUND

Brain balanced can be defines as the usage of both brain hemispheres (right and left hemisphere). Human brain balanced is important and it is dependent on human emotion [15]. There are a lot of problems in current human life that produce the negative emotions like not fell in well-being, unhappy, insecure, unsatisfied, not relaxed and unhealthier [15]. These negative emotions will disturb the brain condition. Currently, there are interesting works on human brain condition which are related to human cognitive and potential [15-17,19,20,22]. Currently, human wants to know the condition of somebody physiology and cognitive. Therefore, this is become the intervention on this research.

Nowadays, there are a lot of researches have done by psychology and cognitive researchers in human cognitive and potential area, but for human brain balanced are still new and no proper or accurate results. Researchers have introduced qualitative and quantitative methods to recognize brain balanced, the former method normally employs self-report questionnaires while the latter method analyses human physiological signals [19]. Physiological signals such as electroencephalogram (EEG), electrocardiogram (ECG), magnetoencepdiography (MEG), galvanic skin response (GSR), electrocardiogram (EMG), electromyogram (EMG) and respiration rate can be utilized to identify human brain balanced.

Referring to the scenario, EEG is very important. It is suitable to use because EEG signals can record from human brain. These signals can interpreted for brain state or condition [1, 29, 30, 34, 35]. EEG signals are selected to recognize human brain balanced since the signals are generated from the electrical activity in the human brain due to the change in cognitive state or any stimulation to the brain. Furthermore,