

Total Variation Selective Segmentation-based Active Contour Model with Distance Function and Local Image Fitting Energy for Medical Images

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ABSTRACT

Article history: The Active Contour Model (ACM) is a mathematical model in image processing that is commonly utilized to partition or segment an image into specific objects. The segmentation method in region-based ACM Received Apr 20, 2024 Revised Aug 18, 2024 can be categorized into two classes: global ACM and selective ACM Accepted Sept 17, 2024 Selective ACM isolates a specific target item from an input image, which is more advantageous than the global ACM due to its proven use, particularly in medical image analysis. However, the selective Keywords: ACM appears to produce poor outcomes when segmenting an image Active Contour with uneven (inhomogeneous) intensity. Additionally, the current **Total Variation** selective ACM that uses the Gaussian function as a regularizer Image Processing generates a non-smooth segmentation curve, especially for images Image Segmentation containing noise. This study introduces a new selective ACM that is Mathematical Model designed to segment medical images with inhomogeneous intensity Medical Image levels. The model incorporates a Total Variation term as a regularizer, Selective Segmentation distance function, and local image fitting concepts. The Euler-Lagrange (EL) equation was given to solve the suggested model, Variational Model which is approximately 5% more accurate with a processing time that is around three times faster than the existing model, as shown by numerical testing. The suggested mathematical model can be advantageous for the image analysis community, particularly in the medical industry, to automatically segment a specific object in a medical image. Corresponding Author:

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1. Introduction

In image processing field, the image segmentation approach either variational or nonvariational approaches is an essential step involves in separating a digital picture into different portions for further investigations in many application such as recognition of object, medical image analysis and computer vision [1-5]. Non-variational approaches such as thresholding and region





growth are good for basic images but struggle with topological changes. Another well-known nonvariational method namely the learning methods (machine or deep learning) demand a significant quantity of labelled data, which is often not accessible. In contrast, variational methods are less reliant on the quantity of data and additionally they are demonstrated efficacy in picture segmentation.

In variational approaches, the Active contour model (ACM) is a popular method that utilize the calculus of variations to apply optimization strategies to minimize the energy cost function. There are two forms of variational ACM: edge-based and region-based methods. A prominent edge-based variational ACM namely Snake model [6] was formulated in explicit parametric contour. The drawback of this technique is susceptible to picture noise. In contrast, the region-based variational ACM is less affected by image noise and capable to manage topological changing as the method considers statistical features of an input images in the formulation [7-9]. This region-based variational ACM may be categorized into two classes: global ACM and selective ACM.

The most recognized variational global active contour model was the Mumford-Shah model developed in [10]. Being a global type of model, its objective is to segment all items or objects included in the input picture. A simplified version [11] of the Mumford-Shah model into a precise numerical representation known as the Chan-Vese (CV) model. However, the CV model may yield unsatisfactory outcomes as this model's reliance on global image intensity information in its mathematical formulation hinders its ability to effectively segment images that have intensity inhomogeneity.

Intensity inhomogeneity is a significant limitation in image segmentation, especially in medical imaging due to presence of noise or problem with the imaging modalities. This pertains to fluctuations in picture intensity levels within a single image, causing challenges, for instance, in distinguishing between healthy and abnormal tissue that results in erroneous judgements among medical professionals. This may be detrimental, as for disorders like cancer, precise diagnosis is crucial for planning efficient therapy.

2. Literature Review

The Local Binary Fitting (LBF) model [12] was presented to address the issue of segmenting an image with intensity inhomogeneity by including local image intensity. However, the accurate segmentation result achieved by using the LBF model led to longer processing time due to its high computational complexity. Therefore, another ACM model namely Local Image Fitting (LIF) model was proposed [13] to address the shortcomings of the LBF approach. Subsequently, several studies have applied similar concept of LIF model as demonstrated by [14-16]. However, the LIF model cannot be used to segment a particular item in an input picture.

To address the issue of segmenting a particular item in an input picture, the selective segmentation model (selective ACM), which is the second type of variational region-based ACM, is recommended. Selective segmentation is the process of partitioning a particular item in an image into multiple segments based on markers specified by the user. This technique shows promise for integration with medical imaging [17-[8], biometric identification [19], and text analysis [20]. A selective ACM algorithm called Primal Dual Selective Segmentation (PDSS) was introduced in [21]. This approach has been demonstrated to be effective at isolating a particular item within an input image. However, the PDSS model encounters a significant challenge in segmenting pictures with low contrast. Hence, a modified PDSS model called PDSS2 [22], which replaces the fitting term with information obtained from the image enhancement approach to address the issue. Unfortunately, the precise information obtained by the PDSS2 appears inadequate for identifying the near-optimal segmented border of an object with intensity inhomogeneity issues.

Recently, a novel variational selective region-based ACM was introduced in 2023 called the Gaussian regularization selective segmentation (GRSS) model [23] for pictures with intensity inhomogeneity. However, the curve created using a Gaussian kernel regularizer in the formulation was not smooth because the regularizer is sensitive to image noise. Additionally, it is difficult to tune the parameter that control the regularizer. Besides Gaussian kernal function, another more powerful regularizer is to utilize the Total variation (TV) functional. The CV model [11] was an early mathematical model that incorporated TV in its formulation, leading to a smooth segmentation curve. However, as the model was formed inside a variational global region-based segmentation framework, it was not able to effectively segment a specific item in an image.

This study introduces a novel variational selective region-based ACM called the Total Variation based Selective Segmentation (TVSS) model for pictures with intensity inhomogeneity that

incorporates the concept of employing TV as a regularizer. In addition, the local attribute of an input picture was included using the concept from the LIF model [13]. A distance function from the GRSS model [23] was used to capture a specific target.

This article is divided into three portions. Section 3 outlines the methodology of the study and introduces the suggested energy function of the proposed model. Section 4 includes a comparison and explanation of the experimental results. Section 5 discusses the findings and recommendations for further research.

3. Methodology

This section outlines the methodology of the study, as depicted in the flow chart shown in Figure 1.



Figure 1. Flowchart of the research methodology

Figure 1 depicts the flowchart outlining the research methodology used in this study. The Local Image Fitting (LIF) energy, Distance Function (DF), and TV of an input picture were calculated at the beginning. A total energy minimization function was created to reflect the suggested TVSS model to segment the input picture. This function integrates the LIF energies, DF, and TV term. The Euler-Lagrange Partial Differential Equation (EL-PDE) of the TVSS model was generated and solved. The performance of the proposed TVSS model was then compared with the GRSS model. In the following section, each stage is described in detail.

3.1 Data Acquisition

In this study, six (6) sets of mammography test images and six (6) sets of chest X-ray test images with their ground truth segmentation solutions were collected from [24] and [25] respectively. The image dimension of 256 by 256 pixels was utilized for all test images. In addition, the test images had varying intensities to ensure that the proposed model met its objective in segmenting images with intensity inhomogeneity.

3.2 Local Image Fitting (LIF)

The LIF, which is important to handle images with intensity inhomogeneity, was computed using the LIF model [13]. Assuming that for an image I = I(x, y) in a domain Ω , the LIF energy function in the level set formulation, $E_{\varepsilon}^{LIF}(\phi)$ is defined as follows:

$$E_{\varepsilon}^{LIF}\left(\phi\right) = \frac{1}{2} \int_{\Omega} \left|I - I^{LIF}\right|^{2} dx dy$$
⁽¹⁾

Here, the function $\phi(x, y)$ is a level set function that represents the segmentation contour. The fitted image $I^{LIF} = I^{LIF}(x, y)$ in equation (1) can be written as $I^{LIF} = n_1 H(\phi) + n_2 (1 - H(\phi))$ where $H(\phi)$ is a heaviside function or also known as the characteristics function. The value $H(\phi)$ is 1 inside the contour and 0 outside the contour. Next, $n_1 = \text{mean} \left(I \in (\{(x, y) \in \Omega | \phi < 0\} \cap W_k) \right) \right)$ and $n_2 = \text{mean} \left(I \in (\{(x, y) \in \Omega | \phi < 0\} \cap W_k) \right)$ are the intensity averages of interior and exterior in a local region respectively.

3.3 Distance Function (DF)

In the GRSS model [23], the marker set was introduced, and it was defined as $A = \{w_i = (x_i^*, y_i^*) \in \Omega, 1 \le i \le n_1\}$ with $n_1(\ge 3)$ marker points that were placed near the targeted object. Then, the DF which is the Euclidean distance of each point $(x, y) \in \Omega$ from its nearest point in the polygon, *P* made up of $(x_n, y_n) \in P$, constructed from the user input set, *A* was defined as follows:

$$P_{d}(x, y) = \sqrt{\left(x - x_{p}\right)^{2} + \left(y - y_{p}\right)^{2}}$$
(2)

Here, the DF was introduced as a penalty term so that the evolving segmentation contour remains close to the targeted object indicated by the marker set.

3.4 Total Variation (TV) Term

In modeling an ACM, the TV term is used to regularize the generated segmentation curve. One of the earliest ACM that utilized the TV term is the CV model [11]. The TV term was defined as follows:

$$TV = \int_{\Omega} \delta(\phi) |\nabla \phi| \, dx dy \tag{3}$$

Here, the function $\delta(\phi)$ is the Dirac delta functional which is equivalent to $H'(\phi)$ and the function

 $|\nabla \phi|$ is the magnitude of gradient ϕ . By minimizing the TV term, the length of the generated curve was optimized to ensure it is short and smooth. Although the CV model applies to the TV term, the generated segmentation curve by the model was over-segmented especially in segmenting one object among other objects. This was mainly because the model is a global type of segmentation model.

3.5 Total Energy Function

The proposed TVSS model was formulated by modeling a total energy minimization function that utilized the LIF energy, DF, and TV term. As a result, the proposed TVSS model was defined as follows:

$$\min_{\phi} \left\{ TVSS\left(\phi\right) = \nu \int_{\Omega} \delta\left(\phi\right) \left|\nabla\phi\right| \, dxdy + \frac{1}{2} \int_{\Omega} \left(I - \left(n_{1}H\left(\phi\right) + n_{2}\left(1 - H\left(\phi\right)\right)\right)\right)^{2} \, dxdy \\
+ \theta \int_{\Omega} P_{d}H\left(\phi\right) \, dxdy \right\}$$
(4)

with $n_1(x,y) = k_\sigma * [H(\phi)I] / k_\sigma * H(\phi)$ and $n_2(x,y) = k_\sigma * [1-H(\phi)]I / k_\sigma * [1-H(\phi)]$. The function k_σ is a Gaussian kernel with standard deviation σ such that $k_\sigma = e^{-(x^2+y^2)/2\sigma^2}$. The parameter θ restricts the contour from evolving too far from the targeted object. Normally, smaller θ is suitable if the targeted object can be clearly distinguished form the background and vice versa [26-27]. To obtain a smooth contour, the TV term which is the first integrand was used to regularize the generated segmentation curve. The term was weight by the parameter ν . For noisy images, a large ν can be imposed.

3.6 Derivation of Euler Lagrange Partial Differential Equation (EL-PDE)

The proposed TVSS model in equation (4) was minimized using calculus of variation by deriving its EL-PDE. Firstly, n_1 and n_2 was kept fixed and minimized the equation (4) with respect to ϕ using the Gateaux derivative. In calculus of variation, the Gateaux derivative is used to find the first variation of the model formulation function with respect to ϕ . The Gateaux derivative of *TVSS* at

a point ϕ and a test function ψ , denoted by $\frac{\partial}{\partial \phi} TVSS(\phi, \psi)$, is defined as the limit which is illustrated in the following equation (5).

$$\frac{\partial}{\partial \phi} TVSS\left(\phi,\psi\right) = \lim_{h \to 0} \left. \frac{TVSS\left(\phi + h\psi\right) - TVSS\left(\phi\right)}{h} = \frac{d}{dh} TVSS\left(\phi + h\psi\right) \right|_{h=0}$$
(5)

Thus, by applying the equation (5), we arrived at the following equation (6):

$$\frac{d}{dh} \int_{\Omega} \frac{1}{2} \left[I - \left(n_1 H \left(\phi + h\psi \right) + n_2 \left(1 - H \left(\phi + h\psi \right) \right) \right) \right]^2 dx dy \Big|_{h=0} + \frac{d}{dh} \int_{\Omega} \theta P_d H \left(\phi + h\psi \right) dx dy \Big|_{h=0} + \frac{d}{dh} \nu \int_{\Omega} \delta \left(\phi + h\psi \right) \left| \nabla \left(\phi + h\psi \right) \right| dx dy \Big|_{h=0}$$
(6)

ī

Differentiating all the integrands involved in equation (6) yielded:

$$\begin{split} &\int_{\Omega} \left[I - \left(n_1 H \left(\phi + h \psi \right) \right) + n_2 \left(1 - H \left(\phi + h \psi \right) \right) \right] \left[-n_1 H \left(\phi + h \psi \right) \psi + n_2 H \left(\phi + h \psi \right) \psi \right] d\Omega \bigg|_{h=0} \\ &+ \int_{\Omega} v \delta \left(\phi + h \psi \right) \frac{d}{dh} \left(\left| \nabla \left(\phi + h \psi \right) \right| \right) d\Omega \bigg|_{h=0} + \int_{\Omega} \left| \nabla \left(\phi + h \psi \right) \right| v \frac{d}{dh} \delta \left(\phi + h \psi \right) d\Omega \bigg|_{h=0} \\ &+ \int_{\Omega} \theta P_d H \left(\phi + h \psi \right) \psi d\Omega \bigg|_{h=0} \end{split}$$

After simplification, we obtained the following equation (7) as follows:

$$\int_{\Omega} \delta(\phi) \psi \Big[I - (n_1 H(\phi) + n_2 (1 - H(\phi))) \Big] \Big[-n_1 + n_2 \Big] dxdy + \int_{\Omega} v \delta(\phi) \frac{\nabla \phi \cdot \nabla \psi}{|\nabla \phi|} dxdy + \int_{\Omega} v |\nabla \phi| \delta'(\phi) \psi dxdy + \int_{\Omega} \theta P_d \delta(\phi) \psi dxdy = 0$$
(7)

Green's theorem was applied to further simplify the equation (7) that yielded the following equation (8):

$$\int_{\Omega} \delta(\phi) \psi \left[I - \left(n_1 H(\phi) + n_2 \left(1 - H(\phi) \right) \right) \right] \left[-n_1 + n_2 \right] dx dy - \int_{\Omega} v \psi \delta(\phi) \nabla \cdot \frac{\nabla \phi}{|\nabla \phi|} dx dy + \int_{\partial \Omega} v \psi \frac{\delta(\phi)}{|\nabla \phi|} \cdot \frac{\partial \phi}{\partial n} dS + \int_{\Omega} \theta P_d \delta(\phi) \psi dx dy$$
(8)

Here, *n* is the unit normal vector and *S* is the arc length. Note that the EL-PDE is defined when $\frac{\partial}{\partial \phi} TVSS(\phi, \psi) = 0$. Thus, for all test function ψ , the integrands in equation (8) will be zero if

$$\delta(\phi) \Big[I - (n_1 H(\phi) + n_2 (1 - H(\phi))) \Big] \Big[-n_1 + n_2 \Big] - \nu \delta(\phi) \nabla \cdot \frac{\nabla \phi}{|\nabla \phi|} + \theta P_d \delta(\phi)$$
(9)

with Neumann Boundary condition such that $\frac{\partial \phi}{\partial n} \left[v \frac{\delta(\phi)}{|\nabla \phi|} \right] = 0 \Rightarrow \frac{\partial \phi}{\partial n} = 0$. Here, equation (9) is called

the EL-PDE of the proposed TVSS model.

3.7 Solving the EL-PDE of the Proposed TVSS Model

To solve the EL-PDE in equation (9) iteratively, the gradient descent method is applied where an artificial time step t is introduced such that

$$\frac{\partial \phi}{\partial t} = -\frac{\partial}{\partial \varphi} TVSS$$

$$= \delta(\phi) \Big[I - (n_1 H(\phi) + n_2 (1 - H(\phi))) (n_1 - n_2) \Big] + \nu \delta(\phi) \nabla \cdot \frac{\nabla \phi}{|\nabla \phi|} - \theta \delta(\phi) P_d$$
(10)

Equation (10) was discretized and solved using the explicit finite difference scheme using the following equation (11).

$$\phi_{i,j}^{n+1} = \phi_{i,j}^{n} + \Delta t \left\{ \delta\left(\phi_{i,j}^{n}\right) \middle| I - \left(n_{1}H\left(\phi_{i,j}^{n}\right) + n_{2}\left(1 - H\left(\phi_{i,j}^{n}\right)\right)\right) \left(n_{1} - n_{2}\right) \right] \\
+ \nu \delta\left(\phi_{i,j}^{n}\right) \nabla \cdot \frac{\nabla \phi_{i,j}^{n}}{\left|\nabla \phi_{i,j}^{n}\right|} - \delta\left(\phi_{i,j}^{n}\right) \left[\theta P_{d}\right] \right\}$$
(11)

3.8 Performance Comparison

The segmentation performance was judged based on the quantitative accuracy measures using the Dice Similarity Coefficient (DSC) and Jaccard Similarity Coefficient (JSC) metrics. The metrics were computed based on the following formulae:

$$JSC = \frac{|S_n \cap S_*|}{|S_n \cup S_*|}, \ DSC = \frac{|S_n \cap S_*|}{|S_n| + |S_*|}$$
(12)

where S_n is the set of segmentation domain generated by GRSS or TVSS model and S_* is the ground truth solution domain. The return value of DSC and JSC that approaches 1 indicates good quality segmentation. The value that approaches 0 indicates poor segmentation quality. The processing time was also recorded to determine the efficiency of the GRSS model and the TVSS model.

3.9 TVSS Model's Algorithm

To compute the solution of equation (11), the MATLAB R2017b software was used in a laptop with the specification of Processor: Intel(R) Core(TM) i7- 6500 CPU @ 2.50GHz 2.60 GHz installed memory (RAM): 8 GB, System type: 64 - boy operating system, 64-based processor. The following Figure present the algorithm to implement the TVSS model.



Figure 1. Steps to implement the TVSS model

4. Results and Discussion

Figure 2 shows all 12 sets of test images with the initial contour in yellow and marker set in green used in this experiment.

(a) Test 1	(b) Test 2	(c) Test 3	(d) Test 4
(e) Test 5	(f) Test 6	(g) Test 7	(h) Test 8
(i) Test 9	(j) Test 10	(k) Test 11	(I) Test 12

Figure 2. Test images with initial contours and marker set

From Figure 2, Test 1 until Test 6 were mammography images, while Test 7 until Test 12 were chest x-ray images. These types of images were chosen because all the test images were challenging to be segmented due to low contrast appearance. Additionally, the images had inhomogeneous intensity. Hence, all the images chosen were significant in testing the performance of the proposed model. The segmentation process was run using the MATLAB software, and the

segmentation results of all test images were compared between the proposed TVSS model and the GRSS model [24].

4.1 Segmentation of Mammography Images

The visual illustration of the segmentation result for the mammography images are demonstrated in the following Figure 3.



Figure 3. Segmentation results from GRSS model and TVSS model in segmenting mammography images

As shown in Figure 3, the benchmarks (ground truth solutions) of the test mammography images are shown in the first column. There are two types of results generated from each model. The first type is the binary form as shown in the second and fourth columns which represent the results by the GRSS model and TVSS model respectively. The second type is the contour or curve representation as demonstrated in the third and last columns which demonstrate the results generated by GRSS model and TVSS model respectively.

By visual observation, both models were able to segment the targeted region (breast abnormalities) of all the test images. However, both produced different segmentation results. For instance, it is clear that the segmentation results for the GRSS model in Figure 3 (m, r and w) produced large amounts of unnecessary artifacts, which made the final contour more scattered. On the other hand, the result produced by the proposed TVSS was smoother as indicated in Figure 3 (o, t and y). These results demonstrate the advantage of using the TV term in the proposed TVSS model compared to the Gaussian regularization term utilized in the GRSS model. By incorporating the TV term in the TVSS model, the length of the segmentation curve was minimized that gave a smoothing effect to the final segmentation curve.

Besides the qualitative visual observation, this experiment was also judged based on the quantitative accuracy measures using the Dice Similarity Coefficient (DSC) and Jaccard Similarity Coefficient (JSC) metrics. Additionally, the processing time was also recorded to determine the efficiency of the GRSS model and the TVSS model. Table 1 demonstrates the JSC, DSC and processing time of both models in segmenting all mammography test images.

	JS	JSC		DSC		Processing Time	
Image							
	GRSS	TVSS	GRSS	TVSS	GRSS	TVSS	
Test 1	0.8944	0.9335	0.9443	0.9656	38.0244	9.4841	
Test 2	0.8976	0.9032	0.9460	0.9491	71.8910	83.9554	
Test 3	0.7779	0.7946	0.8751	0.8856	21.9318	22.3152	
Test 4	0.7383	0.8144	0.8495	0.8977	137.3918	136.3861	
Test 5	0.7811	0.8845	0.8771	0.9387	11.3808	11.6315	
Test 6	0.9132	0.9242	0.9547	0.9606	126.2228	127.4576	
Average	0.8338	0.8757	0.9078	0.9329	67.8071	65.2050	

Table 1. JSC, DSC and Processing Time for Segmenting Mammography Images

From Table 1, by taking the average of the JSC and DSC values for both models in segmenting the object's boundaries in all mammography test images, it was observed that the average JSC and DSC values for the proposed model were 0.8757 and 0.9329, respectively, which were 4.78% and 2.69% higher than the average JSC and DSC values recorded in the GRSS model. It was also observed that the processing time for both models to obtain the final segmentation result was comparable.

4.2 Segmentation of Chest X-ray Images

Next, the performance of GRSS and the proposed TVSS models in segmenting the chest xray images were compared. The results are illustrated in Figure 4. A similar observation as in the previous experiment in segmenting mammography images was observed where the segmentation curves generated by the proposed TVSS model were smoother compared to the GRSS model. This was mainly because the proposed model utilized the TV term which was capable of regularizing contours effectively compared to the Gaussian term applied in the GRSS model. The results were also compared quantitively by measuring their JSC, DSC and processing time as tabulated in Table 2.

Benchmark	GR	SS	TV	SS
				63
(a) Test 7	(b)	(C)	(d)	(e)
(f) Test 8	(g)	(h)	(i)	(j)
(k) Test 9	(I)	(m)	(n)	(0)
(p) Test 10	(q)	(r)	(s)	(t)
(u) Test 11	(v)	(w)	(x)	(y)
				S
(z) Test 12	(aa)	(ab)	(ac)	(ad)

Figure 4. Segmentation results from GRSS model and TVSS model in segmenting chest X-ray images

	JSC		DSC		Processing Time	
Image						
	GRSS	TVSS	GRSS	TVSS	GRSS	TVSS
Test 7	0.7108	0.8355	0.8310	0.9104	16.5518	5.9613
Test 8	0.7507	0.7766	0.8576	0.8743	8.7095	6.0210
Test 9	0.7455	0.8650	0.8542	0.9276	16.6471	5.9322
Test 10	0.7958	0.9250	0.8863	0.9610	16.7538	5.8816
Test 11	0.7247	0.8751	0.8404	0.9334	16.7853	5.8733
Test 12	0.7013	0.8755	0.8244	0.9336	17.3944	5.8171
Average	0.7381	0.8588	0.8490	0.9234	15.4737	5.9144

Table 2. JSC, DSC and Processing Time for Segmenting Chest X-ray Images

As depicted in Table 2, By taking the average of the JSC and DSC values for both models in segmenting the object's boundaries in all chest X-ray test images, certain patterns were observed. The average JSC and DSC values for the proposed model were 0.8588 and 0.9234, respectively. These values were 14.05% and 8.06% higher than the average JSC and DSC values recorded in the GRSS model. It was also observed that the proposed model was more efficient than the GRSS model, as it took less time to obtain the final segmentation result as compared to the GRSS model.

5. Conclusion

A new variational selective region-based ACM called the TVSS model was developed in this study. It combined the TV term with local image intensities. The EL equation was derived to ensure optimality and was subsequently solved using MATLAB. The TVSS model was compared to the GRSS model in terms of segmentation accuracy and efficiency. Segmentation accuracy was assessed using the average JSC and DSC values, while processing time was recorded to evaluate segmentation efficiency. All models successfully segmented the specified items visually, as indicated by the findings. However, the TVSS model was able to generate a smoother segmentation curve compared to the GRSS model. Additionally, the proposed TVSS model had the highest accuracy with a comparable processing time. The proposed TVSS model can be potentially commercialized by developing a software for image analysis that serves as a second eye to physicians or radiologists in interpreting a medical image for further decision. To enhance accuracy, the concept of saliency from references [28-29] can be included in future work.

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Conflict of Interest

The authors declare no conflict of interest in the subject matter or materials discussed in this manuscript.

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